Oral and Tonsillar Cancer What about HPV?

John R. Kalmar, DMD, PhD Division of Oral and Maxillofacial Pathology The Ohio State University College of Dentistry kalmar.7@osu.edu

Conflict of Interest

I have no conflicts to declare

Outline

Examination of the dental patient
 Precancerous oral lesions, high-risk features
 Oral vs tonsillar Ca: epidemiology and trends
 HPV vaccines and their potential impact on tonsillar (oropharyngeal) cancer

Patient Examination

- Permits detection and documentation of pathology or unusual anatomic variants
- Guides patient triage
- Key features:
 - -Standard technique
 - Recording of findings (if not, was it ever found?)

Conventional Visual and Tactile Examination (CVTE)

Standard technique
Recording of findings
Knowledge of anatomy
Knowledge of disease/pathology

CVTE of Head and Neck: Equipment

Operatory light
Dental mirror
Examination gloves
Gauze
Periodontal probe/measuring device

Head & Neck CVTE

 Neck & face: standard visual exam for color/surface changes plus tactile assessment (palpation) for lymph nodes/mass lesions

Oral tissues: standard visual & tactile exam



















































Recording of findings

- Site
- Size
- Character (flat, raised, depressed)
- Color (uniform, variable)
- Surface morphology (texture)
- Border (sharp?, smooth vs irregular)
- Consistency (palpation)
- Local symptoms
- Distribution (if multiple)

Oral pathology documentation Record lesional attributes -size, location, texture, consistency, etc. -quality, close-up photographs Discuss findings with patient: working diagnosis, differential diagnosis, risks, alternatives and plan for follow-up




Follow-up evaluation

Has lesion changed?
If initial therapy was used, did it help reduce signs/symptoms?

 When diagnosis still uncertain, the option of surgical biopsy should be discussed with patient/guardian

Follow-up protocol

- Initial: 7-21 days (+/- conservative therapy)
- If no progression, follow-up at 1, 3, 6 and 12 months. Then, every 6-12 months (or normal recall intervals)
- With lesion progression/worsening: biopsy
- Treat as determined by biopsy diagnosis
- If no evidence of epithelial precancer/cancer (OMF pathologist), follow and document as above

Oral biopsy? Oral pathology!



In 2020, 53,260 new cases of oral and pharyngeal cancer in USA (10,750 deaths) Oral cavity: 35,310 cases (7110 deaths) ■Pancreas: 57,600 cases (47,050 deaths) ■Multiple myeloma: 32,270 (12,830 deaths) -90% are squamous cell carcinoma (SCCa) -97% patients ≥ 35 years of age; M>F (2:1)

■ In 2022, 54,000 new cases of oral & oropharyngeal cancer in USA (11,230 deaths) Oral cavity: 34,730 cases (7250 deaths) ■Pancreas: 62,210 cases (49,830 deaths) ■Multiple myeloma: 34,470 (12,640 deaths) -90% are squamous cell carcinoma (SCCa) -97% patients ≥ 35 years of age; M>F (2:1)

In 2023, 54,540 new cases of oral & oropharyngeal cancer in USA (11,580 deaths) Oral cavity: 34,470 cases (7,440 deaths) ■Pancreas: 64,050 cases (50,550 deaths) ■Multiple myeloma: 35,730 (12,590 deaths) -90% are squamous cell carcinoma (SCCa) -97% patients ≥ 35 years of age; M>F (2:1)

Since 1973:

- incidence rate (IR) of oral Ca has gradually declined
- IR of tongue base and oropharynx ("tonsillar") Ca has increased, with recent rapid growth in case numbers











Oral Cancer: Risk factors Tobacco and alcohol Involved in 80% of oral cancer cases Synergistic effect: **R** R for smoking (2 ppd) only = 5 X**RR** smoking/heavy drinking = 15 X■ Alcohol alone (variable est.) = 0-2 X Low socioeconomic status (access to care, nutrient-poor diet [less fruits, vegetables]) Marijuana: no increased risk by meta-analyses* *de Carvalho et al, Arch Oral Biol 2015;60:1750-5 Ghasemiesfe M et al, JAMA Netw Open, 2019;2:e1916318

Oral Cancer

Age-adjusted IR higher among men (5.8) than women (3.0) (ratio <2:1), with a similar trend in mortality

1960s: male/female ratio was 5:1

African-American men at highest risk (6.4)

Oral Cancer

Overall 5-yr survival: 65%, but significant racial differences (67% white men, 48% for black men)

 Greater proportion of late (higher stage) diagnoses in black men; access to care?
 Survival has steadily increased since 1975

5-yr relative survival All races, white, black

Oral cavity & pharynx



Where do we look for oral cancer?

High-risk sites for oral squamous cell carcinoma

Ventro-lateral tongue

Floor of mouth

Anterior tonsillar pillars/retromolar area

"High-risk Zone"



What are the clinical features of oral cancerous or precancerous lesions?

Well-defined white plaques (leukoplakia: more common) Well-defined red patches (erythroplakia: uncommon)* Suspicious lesional features* • Large size (≥ 1 cm diameter) Non-homogenous, irregular surface Reddish (or red-white) surface Progressive enlargement Persistent ulceration, induration *High risk











Incidence trends for Oral and OP Ca



Chaturvedi AK et al., JCO, 2013

Head & Neck Ca Incidence Projections



Chaturvedi AK et al., JCO, 2011

Oropharyngeal cancer (OPC) and HPV

- HPV-Ca connection 1st in cervical dysplasia/cancer (2008 Nobel prize: Dr. Harald zur Hausen)
- HPV thought to be major risk factor for OPC since early 1980's
- 2007: WHO recognizes HPV 16 as a cause of OPC
 Smoking less frequent factor in OPC compared to oral cancer

HPV in tonsillar cancer

Oropharynx ~80-90%



OPC (tonsillar Ca): A tale of two HPV settings

HPV - negative

HPV - positive

- Minority of cases (10%)
- Males (2 to 3:1)
- Smoking (80-90%)
- Alcohol synergistic effect
- Unrelated to sexual history
- Incidence: decreasing

- Majority of cases (~ 90%)
- Males (4 to 5:1)
- Smoking (50-65%)
- Alcohol not a significant factor
- Related to sexual history
- Incidence: increasing

Rettig EM, D'Souza G. Surg Oncol Clin N Am (2015)

HPV⁻ vs. HPV⁺ OPSCC

<image/>	inic	HPV-	HPV	
		Falling	Risin	
		Older	Youn	00
		Low	High	
		Tobacco, alcohol	Sexua	
	Survival C	Worse	Better	

How is HPV acquired?

Mode of acquisition:
 Sexual (oral-genital) contact (primary route)
 Vertical transmission (perinatal): mostly via vaginal delivery
 Saliva/deep kissing: rare

Oral and tonsillar HPV: Prevalence and incidence

New US infections (2021): ~13 million people/yr
Oral/tonsillar HPV prevalence in US (2017)*:
11.5% males, 3.2% females
7.3% males, 1.4% females with high-risk HPV
7 million men, 1.4 million women

*Sonawane K et al. Ann Intern Med 2017:167;714-724

Oral HPV Prevalence: higher in males

With same # of lifetime sexual partners – males have higher oral HPV prevalence.

Chaturvedi et al. Cancer Res. 2015;75:2468-77



Risk stratification for oral HPV

Oral HPV prevalence in U.S. general population

Stratifies into risk groups by sexual behavior, tobacco, & sex



DSouza, McNeel, Fahkry. Annals Oncol 2017 28(12):3065-9.

Types of HPV infection

Transient: most common, infection often clears with no lesions, both high & low-risk HPV

Low-risk HPV types may produce benign lesions: verruca (wart), squamous papilloma, genital wart (condyloma)

Chronic: more frequent with high-risk HPV, in immunosuppressed patients; males slower to clear virus compared to females

Detecting HPV infection

Transient carrier (common)

- Antibody status becomes positive
- Presence in mucosa; *in-situ* hybridization or polymerase chain reaction (PCR)
- High-risk HPV is cleared in most patients, gender dependent (females: 6 months; males: up to 3 years)

Chronic carrier (uncommon)

Persistent infection by high-risk HPV strongly associated with both cervical Ca and tonsillar Ca

Cervical HPV Infection

Many Q harbor HPV, but only a minority develop cancer



© Elsevier. Kumar et al: Robbins Basic Pathology 8e - www.studentconsult.com

What is high-risk HPV? Tonsillar Ca: HPV-16 (~ 90%) and 18 Also 31, 33, 45, 52, 58; among other subtypes HPV DNA becomes integrated into host cell DNA through oncoproteins E6 and E7 Cells proliferate unchecked and over-express p16, a protein readily detected in infected epithelial cells by immunohistochemistry (IHC)


What is a high-risk, HPV-related lesion?

Immunohistochemistry evidence of p16?

- p16+ not totally specific to HPV integration, may be elevated by other mechanisms
- In-situ hybridization?

cDNA probe will show both integrated HPV DNA and episomal HPV DNA

To "prove" HPV-related lesion, need both:

Evidence of high-risk HPV DNA & evidence of viral DNA integration (p16⁺)





HPV infection deep within tonsillar crypt



Begum S et al Clin Cancer Res 2005



p16: Patient has 2.5 cm neck mass; each Ca cluster <1 mm











Tonsillar Ca (OPC) vs Oral Cancer Distinct HPV patterns

HPV and OPC

- ~80-90% are positive for HPV
- Persistent high-risk HPV infection associated with 6-50X increase risk for OPC
- Lymph node metastases at time of presentation: 80-85%



Tonsillar Cancer The slightly good news with HPV+ OPC

HPV and Tonsillar Ca (OPC)

- HPV+ OPC associated with improved 2 yr survival; 92% vs 46% for HPV⁻ OPC*
- 3-5X lower risk overall & disease-specific mortality
- Result: new de-escalated treatment protocols
- However: Smoking negates this improved survival

*Zhu G et al JAMA Otolaryngology (2022) 148: 70-9



















What about HPV vaccines?

HPV-related cancers

Roughly 47,000 HPV-related cancers are diagnosed annually in US

- 26,000 among women
- 21,000 among men

https://www.cdc.gov/cancer/hpv/statistics/cases.htm

HPV-related cancers in the US

Cancer site	% Associated with HPV
Cervical	>99%
Vaginal	50%
Vulvar	50%
Penile	50%
Anal	>90%
Oropharyngeal	~90%

US HPV-related Cancers, by Sex 2015-2019 data

Most HPV-related cancer is:

- Cervical in women
- Oropharyngeal in men



Currently, in US: Cervical cancers < Oropharyngeal cancers 12,293 20,839 (17,222 in men; 3,617 in women)

https://www.cdc.gov/cancer/USCS-Data-Brief-No31-October2022.htm

First vaccines developed primarily to prevent cancer:
Cervical dysplasia and cervical cancer
Oropharyngeal (tonsillar) and other anogenital cancers ???

Gardasil (Merck): quadrivalent recombinant vaccine against HPV 6, 11, 16, 18 (2006) Cervarix (GlaxoSmithKline) bivalent against 16, 18 only (2009), off US market 2016 Gardasil 9 (Merck): Gardasil + 5 additional subtypes (31, 33, 45, 52, 58) (Dec 2014) 9-14 yrs (2 doses) Routinely 11-12 yr olds with Tdap, MenACWY, flu 15-26 yrs (3 doses)

Gardasil (Merck): quadrivalent recombinant vaccine against HPV 6, 11, 16, 18 (2006) Cervarix (GlaxoSmithKline) bivalent against 16, 18 only (2009), off US market 2016 Gardasil 9 (Merck): Gardasil + 5 additional subtypes (31, 33, 45, 52, 58) (Dec 2014) 9-14 yrs (2 doses) Routinely: 11-12 yr olds with Tdap, MenACWY, flu 15-26 yrs (3 doses)

Gardasil 9 (Merck): Gardasil + 5 additional subtypes (31, 33, 45, 52, 58) (Dec 2014) ■ 9-14 yrs (2 doses) Routinely: 11-12 yr olds with Tdap, MenACWY, flu 15-26 yrs (3 doses) October 2018; FDA expanded approval to older adult males and females: 27-46 yrs (3 doses)

HPV types/vaccine and frequency in cervical cancer worldwide



Handler MZ et al J Am Acad Dermatol 2015 73:743-56

Both Gardasil and Cervarix have shown:

 Evidence for prevention of cervical dysplasia
 Evidence for reduction of cervical cancer

Broader coverage of Gardasil 9 has shown improved protection
Impact on oropharyngeal carcinoma: ???

Head & Neck Ca Incidence Projections



Chaturvedi AK et al., JCO, 2011

Reported Coverage Among National (2017) Immunization Progams, Three-Dose Schedule



Data from 'Population-based HPV vaccination programmes are safe and effective: 2017 update and the impetus for achieving better global coverage' published in Best Practice & Research Clinical Obstetrics and Gynaecology 47 (2018)

Vaccination coverage with selected vaccines among 13–17 year olds in US 2006–2019

HPV vaccination uptake increasing, but still lags behind other childhood vaccines



Vaccines

Jan 11, 2017: 69 US Cancer centers issue joint statement of support for HPV vaccination.

Although many HPV-associated cancers are preventable with the safe and effective vaccine, HPV vaccination rates across the US remain low. Current rates are 41.9% in girls and 28.1% in boys, which is far below the 80% goal set by the US Department of Health and Human Services for 2020."

Why such poor HPV vaccination uptake in US?



Measles vax rate; US children 1980-2022

LOST PROGRESS

After years of steady gains, measles vaccination rates in children aged 12 months to 23 months stalled in the years before the COVID-19 pandemic. Since the pandemic, the progress of the past decade has essentially been erased.



Elsenstein M, Nature, 2022; 612:S44-46

HPV Vaccines Impact on Sexual Behavior

- Not associated with earlier entry into or riskier sexual behavior^{1-5,7}
- Didn't reduce patient concerns about or importance of safe sexual behaviors⁶
- Not associated with markers of sexual behavior⁷
 - 1. Pediatrics 2014;133:404-11
 - 2. J Ped Adolesc Gynecol 2014;27:67-71
 - 3. Am J Prev Med 2012;42:44-52
 - 4. J Community Health 2013;38:1010-4
 - 5. Health Econ Policy Law 2020;15:477-495
 - 6. Arch Ped Adolesc Med 2012;166:82-8
 - 7. Hum Vaccin Immunother 2016 ePub DOI:10.1080/21645515.2016.1141158

HPV Vaccine efficacy

Confirmed by 2015 report of multinational clinical trial; nearly 20,000 women (15-25 yrs), 4 years follow-up

Cervarix protection against HPV 16/18 was 90% and against other high-risk strains nearly 50%,
More effective in younger patients (15-17 yrs vs 18-25 yrs); higher Ab titers, greater protection
Vaccine safety confirmed*

Apter D et al. Clin Vaccine Immunol 2015: CVI.00591-14 DOI: 10.1128/CVI.00591-14

HPV Vaccine efficacy

Nov 2017 multinational Phase 3 study; 14,215 women, 16-26 yrs of age, examining protection from HPV infection and dysplasia with either Gardasil-9 or Gardasil

Gardasil-9 provided 97.4% improved efficacy compared to Gardasil for HPV 31,33,45,52 & 58 and comparable protection against HPV 6,11,16 and 18
Sustained protection over the 6 yrs of the study

Huh WK et al, Lancet 2017 390:2143-59

HPV Vaccine efficacy

Recently, the vaccine impact on high-grade cervical lesions in the US between 2008 and 2016 was estimated by the HPV-IMPACT working group ■ For 2008, there were an est. 216,000 cases For 2016, there were an est. 196,000 cases Significant declines in younger age groups attributable to HPV vaccination

McClung et al. MMWR 2019 68(15):337-343

CIN2+ cases by age group: 2008 vs 2016



McClung et al. MMWR 2019 68(15):337-343
HPV Vaccine efficacy: latest

- August 2022: comparing vaccine-mediated immunity (born in 1990s) and herd immunity (born in 1980s) on high-risk HPV infection in US women, 18-26 yrs
- Total 2,698 women (1980s: 1,418, 1990s: 1,280)
- Overall: 54% reduction in risk of HPV infection among younger, vaccinated (1990s) cohort
 - Most notable reductions among youngest patients
 - Corresponds to increased vaccine uptake

Shahmoradi et al. JAMA Health Forum 2022 3(8):e222706

HPV vaccine reduces cervical infection rate



Shahmoradi et al. JAMA Health Forum 2022 3(8):e222706

A Age, 36-45 y







Tonsillar Ca Incidence Rate Predictions +/-HPV vaccination by sex and cohort age

Zhang et al. JAMA Oncol doi:10.1001/jamaoncol.2021.2907 110 YEARS OF DEDICATION TO ADVANCING ORAL HEALTH SCIENCE

THE JOURNAL OF THE AMERICAN DENTAL ASSOCIATION





INVESTIGATION Improvements in Digital Image Quality 24

CLINICAL TRIAL Digital vs Conventional Complete Dentures 32

INVESTIGATION Risk of Prosthetic Joint Infections 43

COVER STORY

Knowledge and Discussions Related to **Human Papillomavirus** 10

- Safety of vaccine has been confirmed*
- Confirmed independently by the NIH, CDC and the WHO
- An estimated >300 million doses of HPV vaccine delivered worldwide
- Adverse events have been similar to other vaccines: injection site pain, syncope, nausea, fever, headache and skin rash/hives

Claims of great harm related to HPV vaccination have included:

multiple sclerosis or other demyelinating diseases, premature ovarian failure, complex regional pain syndrome

To date, the CDC considers Gardasil 9 as a "very safe" vaccine with proven no causality between HPV vaccines and any serious health condition

Cautionary note:

- 2017 French study of over 2 million young girls suggested a possible link to Guillain-Barré syndrome
- Estimated rate: 2 per 100,000 vaccinations (0.002%)

Important note: similar linkage has been previously noted with other vaccinations, including seasonal flu

Miranda et al. Vaccine 2017 35(36):4761-8

2018 Canadian study of 290,939 girls aged 12-17 eligible for vaccination from 2007-2013
 Compared rates of autoimmune disorders diagnosed 7-60 days post-vaccination
 No significantly increased risk for any autoimmune disorder; including Bell palsy, optic

neuritis and Guillain-Barré Syndrome

Liu et al. CMAJ 2018 190(21):E648-55

 2020 meta-analysis of world literature examined risk for 3 autoimmune diseases: autoimmune thyroiditis, Guillain-Barre syndrome and IBD
 Total population: 154,398 exposed and 1,504,322 non-exposed individuals
 No causal association identified

Rossilon et al. Pharmcoepidemiol Drug Saf 2020 68(15):337-343



OP/tonsillar Ca Summary

Most OP HPV infections are transient (0.5-3 yrs) Men have: Higher OP HPV acquisition Lower/slower OP HPV clearance Prevalence not fully explained by # oral sex partners HPV is associated with most OP/tonsillar Ca HPV+ OPC has better prognosis than HPV-negative OPC (absent smoking)

HPV Vaccines Summary

Vaccines are effective and safe in reducing cervical Ca and precancer in women
HPV vaccines may reduce the risk for tonsillar Ca in both men and women in years to come

Head & Neck Ca Incidence Projections



Chaturvedi AK et al., JCO, 2011

Special thanks to:

Mark Lingen, DDS, PhD; University of Chicago
James Lewis, MD; Vanderbilt University
Gregory Zimet, PhD, Indiana University
Justin Bishop, MD, John Hopkins University
Amber D'Souza, MD, John Hopkins University
Paul Wakely, MD, The Ohio State University

And thank you!